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# Stable cut loci on surfaces

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## Introduction

Let  $M$  be a 2-dimensional compact connected smooth manifold without boundary. Let  $p \in M$  be fixed. Take a geodesic  $g(t)$ ,  $0 \leq t \leq \infty$ , starting at  $p$ . Then the first point on this geodesic where the geodesic ceases to minimize distance from  $p$  is called the cut point of  $p$  along the geodesic  $g(t)$ . The cut locus  $C(p)$  is the set of all cut points of  $p$ . Since  $M$  is compact,  $C(p) \neq \emptyset$ . The graph  $G$  is said to be smoothly embedded in  $M$  if for every point  $q \in G$ , there exists a smooth coordinate chart  $\rho : V \rightarrow \mathbb{R}^2$  where  $V$  is an open neighborhood of  $q$  in  $M$ , such that, for every edge  $e$  of  $G$  with  $q \in e$ ,  $\rho(e \cap V)$  is contained in a 1-dimensional affine subspace of  $\mathbb{R}^2$ . Suppose  $G$  is a connected finite graph which is smoothly embedded in  $M$ , and whose vertices have degree 1 or 3 only. Furthermore, suppose that for every vertex  $v$  of  $G$  of degree 3, the tangent vectors to  $M$  at  $v$  in the directions of the three edges of  $G$  incident to  $v$  are not contained in a closed half-space of  $T_v M$ . Also, suppose that the inclusion map  $\iota : G \rightarrow M$  induces an isomorphism  $\iota_* : H_1(G; \mathbb{Z}/2) \rightarrow H_1(M; \mathbb{Z}/2)$ . In §1 - 3, with the preceding hypothesis, we construct a smooth Riemannian metric  $\alpha$  on  $M$  and find a point  $p \in M$  so that the cut locus  $C(p, \alpha)$  of  $p$  with respect to  $\alpha$  is  $G$ , and in §4, we show that the cut locus  $C(p, \alpha)$  is stable for  $\alpha$ .

## 1 Construction of the model curves

Let  $\gamma : \mathbb{R} \rightarrow \mathbb{R}^2$  be a  $C^\infty$  unit speed plane curve.

Then  $\gamma'(t) = T_\gamma(t)$  and  $T'_\gamma(t) = \kappa_\gamma(t)N_\gamma(t)$  where  $T_\gamma(t)$  is the unit tangent vector of  $\gamma(t)$ ,  $N_\gamma(t)$  is the unit normal vector of  $\gamma(t)$  such that  $\{T_\gamma(t), N_\gamma(t)\}$  has the standard orientation and  $\kappa_\gamma(t)$  is the signed curvature of  $\gamma(t)$ . The center

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of curvature of  $\gamma(t)$  at  $\gamma(t_0)$  is  $\gamma(t_0) + \frac{N_\gamma(t_0)}{\kappa_\gamma(t_0)}$  where  $\kappa_\gamma(t_0) \neq 0$ . The evolute of  $\gamma(t)$  is  $\gamma(t) + \frac{N_\gamma(t)}{\kappa_\gamma(t)}$  where  $\kappa_\gamma(t) \neq 0$ . The parallel curve of  $\gamma(t)$  at distance  $r$  is given by  $\gamma(t) + rN_\gamma(t)$ . The cut point of  $\gamma(t_0)$  is the first point on the normal line at  $\gamma(t_0)$  in the direction of  $N_\gamma(t_0)$  where the normal line ceases to minimize its distance from  $\gamma$ . The cut locus of  $\gamma$  is the set of all cut points of  $\gamma(t)$  (i. e. the cut locus of  $\gamma$  is the Maxwell set of the family of parallel curves of  $\gamma$  with the distance parameter). The cut point on the normal ray  $\gamma(t) + uN_\gamma$ ,  $u \geq 0$  cannot occur after the center of curvature of  $\gamma(t)$ . This is easy to prove. We also need the following generalization of the cut locus of the plane curve. Let  $\gamma_i : [a_i, b_i] \rightarrow R^2$ ,  $i = 1, 2, \dots, n$  be a finite collection of smooth disjoint arcs. For  $t_0 \in [a_i, b_i]$ , the cut locus of  $\gamma_i(t_0)$  with respect to  $\gamma_1, \dots, \gamma_n$  is the first point on the normal line at  $\gamma_i(t_0)$  in the direction of  $N_\gamma(t_0)$  where the normal line ceases to minimize distance to the union of the arcs. The cut locus of  $\{\gamma_1, \dots, \gamma_n\}$  is the set of all such cut points.

**Lemma 1.** *Let  $g : [c, d] \rightarrow R$  be a  $C^\infty$  function and  $a, b \in R^2$  with  $\|b\| = 1$ . There exists a unique  $C^\infty$  plane curve  $C$  in  $R^2$  having parametrization  $f$  by arc length such that if  $f : [c, d] \rightarrow R^2$  then  $f(c) = a$ ,  $f'(c) = b$ , and  $\kappa_f(t) = g(t)$  for every  $t \in [c, d]$ . In other words, a plane curve is determined up to a rigid motion, by its signed curvature.*

The proof of Lemma 1 may be found in the standard Differential Geometry textbooks. Now, we are ready to construct three different types of model curves. Let  $\theta$  be a variable angle such that  $\frac{\pi}{2} > \theta > \frac{\pi}{3}$ .

- (1) Construct a curve whose curvature function is constant, i. e. an arc of a circle with angle  $2\theta - \frac{2}{3}\pi$  starting from  $(s_0 \cos(\frac{\pi}{2} - \theta), l + s_0 \sin(\frac{\pi}{2} - \theta))$  to  $(\frac{\sqrt{3}}{2}l + s_0 \cos(\theta - \frac{\pi}{6}), -\frac{l}{2} + s_0 \sin(\theta - \frac{\pi}{6}))$ , where  $l$  is the given positive number and  $0 < s_0 < \frac{\sqrt{3}l \sqrt{1 + \tan(\frac{\pi}{2} - \theta)}}{2(1 - \sqrt{3} \tan(\frac{\pi}{2} - \theta))}$ .

- (2) Construct a curve  $\gamma$  satisfying the following conditions.

- a.  $\kappa_\gamma(t) > 0$  near  $t = 0$ ,  $\kappa'_\gamma(0) = 0$  and  $\kappa''_\gamma(0) < 0$ .
- b.  $\kappa_\gamma(-t) = \kappa_\gamma(t)$  and  $\kappa_\gamma$  is monotonically decreasing for  $t > 0$ .
- c. If  $\gamma(t) = (X(t), Y(t))$ , then  $\gamma(-t) = (-X(t), Y(t))$ ,  $\gamma(0) = (0, -\delta)$ , and  $X'(t), Y'(t) > 0$  for  $t > 0$  where  $\delta > 0$ .

The cut locus of  $\gamma$  is contained in  $Y$ -axis by some consideration. Finally, we'll show that the end-point of the cut locus is an ordinary cusp of the

evolute of  $\gamma$ . Let  $F : R \times R^2 \rightarrow R$  be defined by

$$F(t, x) := (x - \gamma(t)) \cdot (x - \gamma(t)) - r^2 \text{ where } r > 0.$$

$$\frac{\partial F}{\partial t} = (x - \gamma(t)) \cdot T_\gamma(t) = 0 \text{ implies } x - \gamma(t) = \lambda N_\gamma(t) \text{ for some } \lambda.$$

Also,  $F(t, x) = 0$  implies that  $\lambda = \pm r$ .

$$\begin{aligned} \frac{\partial^2 F}{\partial t^2} &= -2(-T_\gamma(t) \cdot T_\gamma(t) + (x - \gamma(t)) \cdot \kappa_\gamma(t) N_\gamma(t)) \\ &= -2(-1 + (x - \gamma(t)) \cdot \kappa_\gamma(t) N_\gamma(t)) \end{aligned}$$

$$F = \frac{\partial F}{\partial t} = \frac{\partial^2 F}{\partial t^2} = 0 \text{ implies } x = \gamma(t) + \frac{N_\gamma(t)}{\kappa_\gamma(t)}.$$

Let  $K : R \times R^2 \rightarrow R$  be given by

$$K(t, x) := (x - \gamma(t)) \cdot T_\gamma(t).$$

Then the discriminant set  $\{\gamma(t) + \frac{N_\gamma(t)}{\kappa_\gamma(t)} | t \in (-\epsilon, \epsilon)\}$  of  $K$  is the evolute of  $\gamma$ . (The discriminant set of  $K$  is  $\{x \in R^2; \text{ there exists } t \in R \text{ with } F(t, x) = \frac{\partial F}{\partial t}(t, x) = 0\}$ )

$$\frac{\partial K}{\partial t} = \frac{\partial^2 K}{\partial t^2} = 0 \text{ at } t = 0 \text{ if and only if}$$

$$[\kappa_\gamma(0) \neq 0, \kappa'_\gamma(0) = 0, \text{ and } x = \gamma(0) + \frac{N_\gamma(0)}{\kappa_\gamma(0)}],$$

since  $\frac{\partial^2 K}{\partial t^2} = \frac{\kappa'_\gamma(t)}{\kappa_\gamma(t)} = 0$  at  $t = 0$  (because  $\kappa'_\gamma(0) = 0$ )

To be an ordinary cusp of the evolute of  $\gamma$ ,  $\frac{\partial^3 K}{\partial t^3} \neq 0$  at  $t = 0$ .  $\frac{\partial^3 K}{\partial t^3} = \frac{\kappa''_\gamma(t)}{\kappa_\gamma(t)} \neq 0$  at  $t = 0$  since  $\kappa''_\gamma(0) \neq 0$ . (see J. W. Bruce and P. J. Giblin [2])

(3) Construct a curve  $\gamma$  satisfying the following conditions:

- a.  $\kappa_\gamma(t) > 0$  for all  $t$ ,  $\kappa'_\gamma(0) = 0$  and  $\kappa''_\gamma(0) > 0$ .
- b.  $\kappa_\gamma(-t) = \kappa_\gamma(t)$  and  $\kappa_\gamma$  is monotonically increasing for  $t > 0$ .
- c. If  $\gamma(t) = (X(t), Y(t))$ , then  $\gamma(-t) = (-X(t), Y(t))$ ,  $\gamma(0) = (e, 0)$ , and  $X'(t), Y'(t) > 0$  for  $t > 0$  where  $e > 0$ .

The initial point is  $(-w + s_0 \sin \theta, s_0 \sin \theta)$  and initial vector is  $(\cos(\frac{\pi}{2} - \theta), \sin(\frac{\pi}{2} - \theta))$ . Also, we can construct another curve below the  $X$ -axis which is symmetric with respect to  $X$ -axis.

The parallel curves of these two curves at distance  $r$  intersect each other transversely for some  $r$  since the two normal lines of two curves intersect each other transversely at points of the  $X$ -axis between  $(-w, 0)$  and  $(w, 0)$  except  $(0, 0)$ . The cut locus of these two curves is the straight line segment from  $(-w, 0)$  to  $(w, 0)$ .

So we have finished the local construction of three different types of model curves.

## 2 Construction of the regular neighborhoods

Let  $q^1$  be a vertex of  $G$  of degree 1. By definition of a smooth embedding, there exists a smooth coordinate chart  $\rho : V_1 \rightarrow R^2$  where  $V_1$  is an open neighborhood of  $q^1$  in  $M$ , such that, for the unique edge  $e$  of  $G$  with  $q^1 \in e$ ,  $\rho(e \cap V_1)$  is contained in a ray from  $\rho(q^1)$ .

Let  $\tau$  be a Euclidean motion (translation and rotation) of  $R^2$  which takes  $\rho(q^1)$  to the origin and  $R$  to the positive  $X$ -axis. Let  $\xi_1 = \tau \circ \rho$ , and let  $U_1 = \xi_1(V_1)$ . Choose  $\delta_1 > 0$  such that  $B_{\delta_1}(0) = \{(x, y) \in R^2 \mid x^2 + y^2 < (\delta_1)^2\} \subset U_1$ , and  $(\xi_1)^{-1}(B_{\delta_1}(0)) = V_1' \subset V_1$ .

Let  $q^3$  be a vertex of degree 3. By definition of a smooth embedding and our assumption on the vertices of degree 3, there exists a smooth coordinate chart  $\rho : V_3 \rightarrow R^2$  where  $V_3$  is an open neighborhood of  $q^3$ , such that  $\rho(V_3 \cap G)$  is contained in three rays starting from  $\rho(q^3)$  in  $\rho(V_3)$  with angles which are all  $< \pi$ .

**Lemma 2.** *Given three rays  $r_1, r_2$  and  $r_3$  from  $(0, 0)$  all of whose intersection angles are less than  $\pi$ , there exists a non-singular linear transformation  $L : R^2 \rightarrow R^2$  such that  $L(r_1) = \{k(1, 0) \mid k \geq 0\}$ ,  $L(r_2) = \{k(-\frac{1}{2}, \frac{\sqrt{3}}{2}) \mid k \geq 0\}$  and  $L(r_3) = \{k(-\frac{1}{2}, -\frac{\sqrt{3}}{2}) \mid k \geq 0\}$ .*

Lemma 2 is trivial since the projective group  $PGL(2, R)$  acts transitively on triple of points of the projective plane.

Next, we will define a coordinate chart for each open neighborhood of a edge of  $G$ . Let  $i = 1, 2, \dots$ , the number of vertices of degree 1 and  $k = 1, 2, \dots$ , the number of vertices of degree 3. For each  $i$  and  $k$ , we have  $\delta_1^i$  and  $\delta_3^k$  by the previous two constructions. Let  $\delta := \min\{\delta_1^i, \delta_3^k, 1\}$ . Thus we have coordinate chars  $\xi_1^i : O_1^i \rightarrow B_\delta(0)$  and  $\xi_3^k : O_3^k \rightarrow B_\delta(0)$  (i. e.  $O_1^i = (\xi_1^i)^{-1}(B_\delta(0))$  and  $O_3^k = (\xi_3^k)^{-1}(B_\delta(0))$ ).

Since the normal bundle of an edge  $\bar{e}$  is trivial, we have a diffeomorphism  $g$  from the normal bundle of  $\bar{e}$  to  $[-2, 2] \times R$  where the interval  $[-2, 2]$  parametrizes  $\bar{e}$ .

By our previous construction of neighborhoods of vertices, we have coordinate charts  $\tilde{\xi}_1^i: O_1^i \rightarrow B_\delta((2, 0))$  (or  $B_\delta((-2, 0))$ ) and  $\tilde{\xi}_3^k: O_3^k \rightarrow B_\delta((2, 0))$  (or  $B_\delta((-2, 0))$ ) where the chart  $\tilde{\xi}_1^i$  (resp.  $\tilde{\xi}_3^k$ ) is obtained from the above  $\xi_1^i$  (resp.  $\xi_3^k$ ), by composition with Euclidean isometries. We choose the parametrization  $[-2, 2] \rightarrow \bar{e}$  so that it is equal to the inverse of the restriction of the given coordinate charts on  $[-2, -2 + \delta]$  and  $[2 - \delta, 2]$ . On  $\bigcup_{i,k} (O_1^i \cup O_3^k)$ , there is the flat metric induced by the coordinate charts. If we consider the space of metrics on  $M$  as the space of sections of a fibre bundle with base  $M$  and fibre the set of positive definite  $(n \times n)$  matrices (see M. Buchner [3, p. 203]), we can extend this flat metric together with the metric on  $\bar{e}$  induced by the given parametrization to neighborhood of  $\bar{e}$  in  $M$  by the prolongation theorem for smooth sections.

Let  $\exp: [-2, 2] \times R \rightarrow M$  be the composition of  $g^{-1}$  with the exponential map of the normal bundle of  $\bar{e}$ . Then by the tubular neighborhood theorem,  $\exp$  restricts to a diffeomorphism  $h$  between an open neighborhood  $U_2$  of the zero section in  $[-2, 2] \times R$  and a neighborhood  $V_2$  of  $\bar{e}$ . We define a new metric on  $V_2$  as the flat metric induced by  $h$ ; i. e. so that  $h$  is an isometry. Since  $h$  was already an isometry near the vertices of  $e$ , this new metric extends the flat metric defined near the vertices. Thus we obtain a flat metric on a neighborhood of  $G$ . Then there is a  $\epsilon_0 > 0$  such that  $[-2, 2] \times (-\epsilon_0, \epsilon_0) \subset U_2$ . Let  $\epsilon' := \min\{\epsilon_0, \frac{\delta}{2}\}$  and  $h^{-1}([-2, 2] \times (-\epsilon', \epsilon')) \subset V_2$ . For any  $n = 1, 2, \dots$  the number of edges, there is  $\epsilon'_n$  such that  $(h_n)^{-1}([-2, 2] \times (-\epsilon'_n, \epsilon'_n)) \subset V_2^n$ . Let  $\epsilon := \min\{\epsilon'_n\}$ .

Let us define subgraphs  $G_1^i, G_2^j$ , and  $G_3^k$  of the graph  $G$  as follows.

- (1)  $G_1^i :=$  an edge  $e$  together with an incident vertex of degree 1 but without incident vertex of degree 3 where  $i = 1, 2, \dots$ , number of vertices of degree 1.
- (2)  $G_2^j :=$  an edge  $e$  without two incident vertices of degree 3 where  $j = 1, 2, \dots$ , number of edges with two incident vertices of degree 3.
- (3)  $G_3^k := G \cap O_3^k$ , where  $k = 1, 2, \dots$ , number of vertices of degree 3

We want to construct the neighborhoods of  $G_1^i, G_2^j$ , and  $G_3^k$ .

On  $G_1^i$ , we can get a coordinate chart  $\eta_1^i: J_1^i \rightarrow \mathcal{J}_\infty^\uparrow$  as follows. By our previous construction, we obtain  $\mathcal{J}_\infty^\uparrow = (-\epsilon, 4) \times (-\epsilon, \epsilon)$ . For  $p \in O_1^i$ ,  $\eta_1^i(p) = \xi_1(p)$  and for  $p \in O_2^j$ ,  $\eta_1^i(p) = h(p) + (2, 0)$  (Recall that  $\delta \geq 2\epsilon > 0$ ). Let  $J_1^i := (\eta_1^i)^{-1}(\mathcal{J}_\infty^\uparrow)$ .

On  $G_2^j$ , we just get a coordinate chart  $\eta_2^j: J_2^j \rightarrow \mathcal{J}_\epsilon^\uparrow$  by  $\eta_2^j = h$ ,  $\mathcal{J}_\epsilon^\uparrow = (-\epsilon, \epsilon) \times (-\epsilon, \epsilon)$  and  $J_2^j := (\eta_2^j)^{-1}(\mathcal{J}_\epsilon^\uparrow)$ .

On  $G_3^k$ , we get a coordinate chart  $\eta_3^k: J_3^k \rightarrow \mathcal{J}_\delta^\parallel$  by  $\eta = \xi_3$ ,  $\mathcal{J}_\delta^\parallel = \mathcal{B}_\delta(\iota)$  and  $J_3^k := (\eta_3^k)^{-1}(\mathcal{J}_\delta^\parallel)$ .

In  $J_1^i$ ,  $J_2^j$ , and  $J_3^k$ , we get the flat metric induced by the coordinate charts  $\eta_1^i$ ,  $\eta_2^j$ , and  $\eta_3^k$ . Also, if  $J_1^i \cap J_2^j \neq \emptyset$ ,  $(\eta_3^k)^{-1} \circ \eta_1^i: J_1^i \cap J_3^k \rightarrow J_1^i \cap J_3^k$  is an isometry, and if  $J_2^j \cap J_3^k \neq \emptyset$ ,  $(\eta_3^k)^{-1} \circ (\text{Euclidean motions}) \circ \eta_2^j: J_2^j \cap J_3^k \rightarrow J_2^j \cap J_3^k$  is an isometry.

Let  $J := \bigcup_{i,j,k} (J_1^i \cup J_2^j \cup J_3^k)$ . So  $J$  is a regular neighborhood of  $G$  in  $M$ .

### 3 Construction of the metric $\alpha$

By the construction of  $\mathcal{J}_\infty^\rangle$ ,  $\mathcal{J}_\epsilon^\mid$ , and  $\mathcal{J}_\exists^\parallel$  in section 2, we have  $\delta$  and  $\epsilon$  with  $\delta > 2\epsilon > 0$ . Let  $\delta' = \frac{3}{4}\delta$  and  $s_0 = \frac{\epsilon}{\sin \Theta}$ .

In  $\mathcal{J}_\exists^\parallel$ , draw the circle with center  $(0, 0)$  and radius  $\delta'$  and three bands with width  $\epsilon$  whose center line is the edge from  $(0, 0)$  to  $(\delta, 0)$ . We get the intersection points between this circle and the boundary of the bands, and two line segments from these points to a point on the edge with intersection angle  $\Theta$  with the corresponding edge. The length of each of the line segments is  $s_0$ . Denote the length from  $(0, 0)$  to a point of the edge at which the line segments intersect by  $l$ . By construction (I) of §1, we can get the curve  $\gamma: (-c, c) \rightarrow \mathcal{J}_\infty^\rangle$  such that  $\gamma(-c) = (4 - l - s_0 \cos \Theta, s_0 \sin \Theta)$  and  $\gamma(0) = (-\frac{1}{\kappa_\gamma(0)}, 0)$ .

In  $\mathcal{J}_\epsilon^\mid$ , by construction (III) of §1, we get  $\gamma: (-d, d) \rightarrow \mathcal{J}_\epsilon^\mid$  such that  $\gamma(-d) = (-2 + l - s_0 \cos \Theta, s_0 \sin \Theta)$  and  $\gamma(0) = (0, A)$  for  $A > 0$ .

Then in  $J_1^i \cap J_3^k$  or  $J_2^j \cap J_3^k$ , the inverse of these constructed arcs in  $\mathcal{J}_\infty^\rangle$ ,  $\mathcal{J}_\epsilon^\mid$ , and  $\mathcal{J}_\exists^\parallel$  under the coordinate charts fit smoothly by isometries since near their ends of the arcs have the same constant curvature.

Finally, in  $J \subset M$ , the union of the inverse of the constructed arcs under the coordinate charts are smooth curves by above reason. Let these curves be called  $\Gamma$  and  $J'$  the closed region bounded by  $\Gamma$  (including  $G$ ). Obviously  $G \subset \text{int}(J') \subset J' \subset J$ . Consider the Mayer-Vietoris exact sequence of the pair  $(J, \overline{M \setminus J'})$  with coefficients  $Z/2$ .

$$\begin{aligned} \cdots \rightarrow H_2(J \setminus \text{int}(J')) &\rightarrow H_2(J) \oplus H_2(\overline{M \setminus J'}) \rightarrow H_2(M) \\ &\rightarrow H_1(J \setminus \text{int}(J')) \rightarrow H_1(J) \oplus H_1(\overline{M \setminus J'}) \rightarrow H_1(M) \\ &\rightarrow \tilde{H}_0(J \setminus \text{int}(J')) \rightarrow \tilde{H}_0(J) \oplus \tilde{H}_0(\overline{M \setminus J'}) \rightarrow \tilde{H}_0(M) \rightarrow 0 \quad (1) \end{aligned}$$

Since  $M$  is a 2-dimensional compact connected manifold without boundary,  $H_2(M) = Z/2$  and  $\tilde{H}_0(M) = 0$ . Since  $\Gamma$  is a deformation retract of  $J \setminus \text{int}(J')$ ,  $H_2(J \setminus \text{int}(J')) = 0$ . Since  $\overline{M \setminus J'}$  is a surface with non-empty boundary and  $\overline{M \setminus J'} \subset M$ ,  $H_2(\overline{M \setminus J'}) = 0$ . Since  $G$  is a deformation retract of  $J'$  and  $J'$  is homotopy equivalent to  $J$ ,  $H_2(J) = 0$  and  $\tilde{H}_0(J) = 0$ . Also, since the inclusion map  $\iota: G \rightarrow M$  induces an isomorphism  $\iota_*: H_1(G; Z/2) \rightarrow H_1(M; Z/2)$ ,

$H_1(J) = H_1(M) = (Z/2)^m$  for some positive integer  $m$ . Since  $J \setminus \text{int}(J')$  is homotopy equivalent to  $\Gamma$ , the inclusion maps  $J \setminus \text{int}(J') \rightarrow J$  and  $J \setminus \text{int}(J') \rightarrow \overline{M \setminus J'}$  induce the zero homomorphisms. Thus  $H_1(J \setminus \text{int}(J')) = H_2(M) = Z/2$ . Then  $\Gamma$  is connected, so  $\tilde{H}_0(J \setminus \text{int}(J')) = 0$ . Now exactness of the Mayer-Vietoris sequence implies that  $H_1(\overline{M \setminus J'}) = 0$ . Also,  $\tilde{H}_0(\overline{M \setminus J'}) = 0$ . Thus  $\overline{M \setminus J'}$  is diffeomorphic to a disk  $D^2$  with  $\Gamma$  mapping to  $\partial D^2$ .

**Proposition 1.** *Let  $D$  be an  $n$ -disk embedded in  $C^\infty$  manifold  $M$ . For any Riemannian metric on  $M \setminus \text{int}(D)$ , there is a Riemannian metric on  $M$  which agrees with the original metric on  $M \setminus \text{int}(D)$  such that for some  $p$  in  $D$ ,  $\exp_p$  is a diffeomorphism of unit disk about the origin in  $T_p(M)$  onto  $M$ .*

The proof of Proposition 3 can be found in Weinstein [8, Proposition C]. By Proposition 3, we can extend the flat metric constructed on the neighborhood  $J'$  of the graph  $G$  to a metric  $\alpha$  on  $M$  such that for some  $p \in M \setminus J'$ ,  $\exp_p : T_p(M) \rightarrow M$  is a diffeomorphism from the unit disk in  $T_p(M)$  onto  $\overline{M \setminus J'}$ . In particular, the image of the unit circle in  $T_p(M)$  is the curve  $\Gamma$ , and the geodesic rays from  $p$  are orthogonal to  $\Gamma$ . Thus, in the isometric coordinate neighborhoods  $\mathcal{J}_\infty^\rangle$ ,  $\mathcal{J}_\infty^\mid$ , and  $\mathcal{J}_\infty^\parallel$  constructed above, the geodesic rays from  $p$  are the normal lines to the model curves. Since the metric in  $J'$  is flat, the graph  $G$  is the cut locus  $C(p, \alpha)$ .

## 4 Stability of $C(p, \alpha)$

In section 3, we have constructed a metric  $\alpha$  such that  $C(p, \alpha) = G$  for some  $p \in M$ . The cut locus  $C(p, \alpha)$  is said to be stable for  $\alpha$  if there is a neighborhood  $W$  of  $\alpha$  in the space of all metrics on  $M$  with the Whitney  $C^\infty$ -topology such that for each  $\beta \in W$ , there is a diffeomorphism  $A(\beta) : M \rightarrow M$  with the property that  $A(\beta)(C(p, \alpha)) = C(p, \beta)$  (see M. Buchner [3, 4]). In this section, we want to prove that  $C(p, \alpha)$  is stable for  $\alpha$ . To do this, we use Looijenga's set-up (see Looijenga [5]). Let  $\Gamma$ ,  $\alpha$ , and  $G \subset J' \subset J$  be as in the previous section, and let  $r_0$  be the largest distance from a point of  $G$  to the curve  $\Gamma$ . From now on, we denote  $\Gamma$  as  $\gamma : R \rightarrow M$  like a function. Let  $\delta > 0$  and  $U := \{(t, x, r) : x \in J', d_\alpha(x, \gamma(t)) < r_0 + \delta, -\delta < r < r_0 + \delta\}$  where  $d_\alpha$  is the distance function on  $M$  corresponding to the metric  $\alpha$ . The family  $F : U \rightarrow R$  is defined by

$$F(t, x, r) := (d_\alpha(x, \gamma(t)))^2 - r^2$$

The deformation  $H : U \rightarrow R \times J' \times (-\delta, r_0 + \delta)$  associated to the family  $F$  is defined by

$$H(t, x, r) := (F(t, x, r), x, r)$$

The deformation  $H$  is stable if for  $F'$  close to  $F$  (in the Whitney  $C^\infty$  topology) there exist diffeomorphisms  $h, h', h''$  such that the following diagram commutes

$$\begin{array}{ccccc} U & \xrightarrow{H} & R \times J' \times (-\delta, r_0 + \delta) & \xrightarrow{\text{Proj}} & J' \times (-\delta, r_0 + \delta) \\ h \downarrow & & h' \downarrow & & h'' \downarrow \\ U & \xrightarrow{H'} & R \times J' \times (-\delta, r_0 + \delta) & \xrightarrow{\text{Proj}} & J' \times (-\delta, r_0 + \delta) \end{array}$$

where  $H'(t, x, r) = (F'(t, x, r), x, r)$  and  $h'(t, x, r) = (t, h''(x, r))$  (see Looijenga [5]). Note that  $h''$  is close to the identity map and the restriction of  $h$  to  $J' \times (-\delta, r_0 + \delta)$  is also close to the identity map.

We consider the action of  $\text{Diff}(R)$  on  $C^\infty(R)$  by  $h \cdot f := f \circ h^{-1}$  where  $\text{Diff}(R)$  is the group of diffeomorphisms from  $R$  to  $R$ . Let  $\Psi : J' \times (-\delta, r_0 + \delta) \rightarrow C^\infty(R)$  be defined by

$$(\Psi(x, r))(t) := (d_\alpha(x, \gamma(t)))^2 - r^2 (= F(t, x, r))$$

By the work of Thom, Mather, and Sergeraert, the deformation  $H$  is stable if and only if  $\Psi$  is transverse to all the  $\text{Diff}(R)$ -orbits in  $C^\infty(R)$  (see Looijenga [5]).

The discriminant of the family  $F$  is the set

$$\begin{aligned} \mathcal{D}_F &:= \{(x, r) : \text{there exist } t \in R \text{ such that } F(t, x, r) = 0, \\ &\quad \frac{\partial F}{\partial t}(t, x, r) = 0\} \\ &= \{(x, r) : \text{there exist } t \in R \text{ such that } \Psi(x, r)(t) = 0, \\ &\quad \frac{d}{dt}(\Psi(x, r)(t)) = 0\} \end{aligned}$$

First, we show that if  $H$  is stable then  $C(p, \alpha)$  is stable for  $\alpha$ . Suppose that the deformation  $H$  is stable. The cut locus  $G = C(p, \alpha)$  is the image by the projection  $J' \times (-\delta, r_0 + \delta) \rightarrow J'$  of the closure  $\overline{G}$  of the double point curve of  $\mathcal{D}_F$ . (To see this, consider  $\mathcal{E}_F = \{(t, x, r) : F(t, x, r) = 0, \frac{\partial F}{\partial t}(t, x, r) = 0\}$ , then  $\mathcal{E}_F$  is a smooth surface and the double point curve of  $\mathcal{D}_F$  means the double points of the projection of  $\mathcal{E}_F$  to  $(x, r)$ -space.) Now, if we perturb the metric of  $M$ , we obtain a new cut locus  $G'$ , which is the projection of the cut locus  $\overline{G'}$  of  $\mathcal{D}_{F'}$  corresponding to the perturbed family  $H'(t, x, r) = (F'(t, x, r), x, r)$  where  $F'$  is the corresponding perturbed family with respect to metrics. Since  $H$  is stable, there exist diffeomorphisms  $h, h'$  and  $h''$  such that  $H'(t, x, r) = (h' \circ H \circ h^{-1})(t, x, r)$  and  $\overline{G'} = h''(\overline{G})$ . Thus  $\overline{G'} = h''(\overline{G})$  where  $h''$  is a diffeomorphism.

Since the projection  $J' \times (-\delta, r_0 + \delta) \rightarrow J'$  restricts to a mapping  $\overline{G} \rightarrow G$  and  $h''$  is close to the identity,  $h''$  induces a homeomorphism  $\phi : G \rightarrow G'$  which



extends to a diffeomorphism  $\Phi : M \rightarrow M$ . Thus the cut locus  $G$  of  $\gamma$  is stable with respect to perturbation of the metric  $\alpha$  of  $M$ .

Next, we prove that  $\Psi$  is transverse to all  $Diff$ -orbits on  $C^\infty(R)$ . Before the proof, we need to know the  $Diff(R)$ -orbits in  $C^\infty(R)$ . The orbits of singularities in  $C^\infty(R)$  are followings:

- (1)  $f$  has one critical point  $t_0$  such that  $f(t_0) = f'(t_0) = 0$  and  $f''(t_0) \neq 0$ .
- (2)  $f$  has one critical point  $t_0$  such that  $f(t_0) = f'(t_0) = f''(t_0) = 0$  and  $f'''(t_0) \neq 0$ .
- (3)  $f$  has one critical point  $t_0$  such that  $f(t_0) = f'(t_0) = f''(t_0) = f'''(t_0) = 0$  and  $f^{(iv)}(t_0) \neq 0$ .
- (4)  $f$  has two distinct critical points  $t_0$  and  $t_1$  such that  $f(t_0) = f(t_1) = f'(t_0) = f'(t_1) = 0$ ,  $f''(t_0) \neq 0$  and  $f''(t_1) \neq 0$ .
- (5)  $f$  has three distinct critical points  $t_0$ ,  $t_1$ , and  $t_2$  such that  $f(t_0) = f(t_1) = f(t_2) = f'(t_0) = f'(t_1) = f'(t_2) = 0$ ,  $f''(t_0) \neq 0$ ,  $f''(t_1) \neq 0$ , and  $f''(t_2) \neq 0$ .

The preimage of these orbits are the strata of the discriminant locus  $\mathcal{D}_{\mathcal{F}} \subset \mathcal{J}' \times (-\delta, \nabla, +\delta)$ . Type(1) are smooth points of  $\mathcal{D}_{\mathcal{F}}$ , type(2) are cusp points of  $\mathcal{D}_{\mathcal{F}}$ , type(3) are swallowtail points of  $\mathcal{D}_{\mathcal{F}}$ , type(4) are double points of  $\mathcal{D}_{\mathcal{F}}$ , type(5) are triple points of  $\mathcal{D}_{\mathcal{F}}$ .

To prove that  $\Psi$  is transverse to all  $Diff(R)$ -orbits in  $C^\infty(R)$ , we use Mather's infinitesimal versality criterion, which we now describe (see [6]).

Consider an orbit  $X \subset C^\infty(R)$  along which the function  $f$  has exactly  $s$  critical points  $t_0, \dots, t_{s-1}$  such that  $f(t_0) = \dots = f(t_{s-1}) = 0$ . Suppose that  $\Psi(x_0, r_0) = f \in X$ . To prove that  $\Psi$  is transverse to  $X$  at  $f$ , we just consider the germs of  $F(t, x, r)$  at  $(t_i, x_0, r_0)$ ,  $i = 0, \dots, s-1$ . For each  $i$ , let  $f_i(t) \in R[[t]]$  be the Taylor series of  $f$  at  $t_i$  (so  $f_i^{(n)}(0) = f^{(n)}(t_i)$ ). Let  $\langle f'_i(t) \rangle$  be the ideal of  $R[[t]]$  generated by  $f'_i(t)$ , and consider the  $R$ -algebra

$$A = \frac{R[[t]]}{\langle f'_0(t) \rangle} \times \dots \times \frac{R[[t]]}{\langle f'_{s-1}(t) \rangle}.$$

Choose local coordinates  $x = (u, v)$  on  $M$  near  $x_0$  and let  $F_u, F_v, F_r$  be the elements corresponding to the functions  $\frac{\partial F}{\partial u}(t, u_0, v_0, r_0)$ ,  $\frac{\partial F}{\partial v}(t, u_0, v_0, r_0)$ ,  $\frac{\partial F}{\partial r}(t, u_0, v_0, r_0)$ , respectively. Then  $\Psi$  is transverse to  $X$  at  $f$  if and only if  $F_u, F_v, F_r$  span  $A$  as a real vector space (Mather's infinitesimal criterion).

First, we consider the orbits of type(1)-(3) for which  $s = 1$ . Mather's criterion is easily checked for type(1). Furthermore, if  $\Psi(t, x_0, r_0)$  has type(3) and  $\Psi$  is

transverse to the orbits of type(3) at  $(x_0, r_0)$ , then  $\Psi$  is transverse to the orbit of type(2) for  $(x, r)$  sufficiently close to  $(x_0, r_0)$ . The proof that  $C(p, \alpha)$  is stable if  $H$  is stable shows that we can replace  $J'$  by an arbitrary neighborhood of the cut locus  $G = C(p, \alpha)$ . Thus we need only check that  $\Psi$  is transverse to the orbit of type(3).

To check Mather's criterion for singularity of type(3), we can work in a coordinate patch  $J'_1$  as constructed above, In these coordinates,

$$F(t, u, v, r) = ((u, v) - \gamma(t)) \cdot ((u, v) - \gamma(t)) - r^2$$

where  $\gamma$  is the model curve type (II)(in §1). By this construction,  $\gamma(0) = (0, 0)$  and  $\gamma'(0) = (1, 0)$ . Thus we can parametrize  $\gamma$  as  $(t, a_2t^2 + a_3t^3 + a_4t^4 + \dots)$ . Now,  $F$  has a singularity at  $(0, 0, \frac{1}{\kappa_\gamma(0)}, \frac{1}{\kappa_\gamma(0)})$ . Let  $f(t) = F(t, 0, \frac{1}{\kappa_\gamma(0)}, \frac{1}{\kappa_\gamma(0)})$ . By Mather's criterion,  $\Psi$  is transverse to the orbit of  $f$  in  $C^\infty(R)$  if and only if  $\frac{\partial F}{\partial u}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))}$  generate  $\frac{R[[t]]}{\langle f'(t) \rangle}$  where  $R[[t]]$  is the ring of power series at 0.

$$\begin{aligned} F(t, u, v, r) &= ((u, v) - \gamma(t)) \cdot ((u, v) - \gamma(t)) - r^2 \\ &= u^2 - 2ut + t^2 + v^2 - 2(a_2t^2 + a_3t^3 + a_4t^4 + \dots)v \\ &\quad + (a_2t^2 + a_3t^3 + a_4t^4 + \dots)^2 - r^2 \end{aligned}$$

By the construction(II) of §1,  $\kappa_\gamma(0) > 0$ ,  $\kappa'_\gamma(0) = 0$ , and  $\kappa''_\gamma(0) \neq 0$ .

$$\begin{aligned} \frac{\partial F}{\partial u}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))} &= -2t \\ \frac{\partial F}{\partial v}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))} &= \frac{2}{\kappa_\gamma(0)} - 2(a_2t^2 + a_3t^3 + a_4t^4 + \dots) \\ \frac{\partial F}{\partial r}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))} &= -2r = -\frac{2}{\kappa_\gamma(0)} \neq 0 \end{aligned}$$

Also,  $a_2 = \frac{\kappa_\gamma(0)}{2}$ ,  $a_3 = 0$  and  $a_4 = \frac{\kappa_\gamma^3(0)}{8}$  by basic calculation. Thus

$$\begin{aligned} f(t) &= F(t, 0, \frac{1}{\kappa_\gamma(0)}, \frac{1}{\kappa_\gamma(0)}) \\ &= t^2 - \frac{2}{\kappa_\gamma(0)} \cdot \left( \frac{\kappa_\gamma(0)}{2}t^2 + \frac{\kappa_\gamma(0)}{8}t^4 + \dots \right) \\ &\quad + \left( \frac{\kappa_\gamma(0)}{2}t^2 + \frac{\kappa_\gamma(0)}{8}t^4 + \dots \right)^2 + \dots \\ &= \frac{\kappa_\gamma(0)}{4}t^4 + \dots \\ f'(t) &= \kappa_\gamma^2(0)t^3 + \dots \end{aligned}$$

It is true that  $\{1, t, t^2\}$  spans  $\frac{R[[t]]}{\langle t^3 \rangle}$ . Thus  $\Psi$  is transverse to the orbit of  $f$  in  $C^\infty(R)$ .

Next, we consider the orbit of type(4), for which  $s = 2$ . Now,  $F$  has a type(4) singularity at  $(t_0, u_0, v_0, r_0)$  and  $(t_1, u_0, v_0, r_0)$  where  $r_0 \neq 1/\kappa_\gamma(t_0)$ ,  $r_0 \neq 1/\kappa_\gamma(t_1)$ ,  $\kappa_\gamma(t_0) \neq 0$ , and  $\kappa_\gamma(t_1) \neq 0$ . Let  $g_0(t) = f(t_0 + t) = F(t_0 + t, u_0, v_0, r_0)$  and  $g_1(t) = f(t_1 + t) = F(t_1 + t, u_0, v_0, r_0)$ . By Mather's criterion,  $\Psi$  is transverse to the orbits of  $f$  in  $C^\infty(R)$  if and only if  $\frac{\partial F}{\partial u}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))}$ ,  $\frac{\partial F}{\partial v}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))}$ ,  $\frac{\partial F}{\partial r}|_{(u,v,r)=(0,1/\kappa_\gamma(0),1/\kappa_\gamma(0))}$  generate  $\frac{R[[t]]}{\langle g'_0(t) \rangle} \times \frac{R[[t]]}{\langle g'_1(t) \rangle}$ . Let

$$g_i(t) = f(t + t_i) = ((u_0, v_0) - \gamma(t + t_i)) \cdot ((u_0, v_0) - \gamma(t + t_i)) - r_0^2$$

for  $i = 0, 1$ .

Thus  $g_0(0) = f(t_0) = 0$  and  $g'_0(0) = f'(t_0) = 0$  since  $(u_0, v_0)$  is on the normal line at  $\gamma(t_0)$ .  $g''_0(0) = f''(t_0) \neq 0$  since  $\kappa_\gamma(t_0) \neq 0$  and  $r_0 \neq \frac{1}{\kappa_\gamma(t_0)}$ . Also, similarly  $g_1(0) = f(t_1) = 0$ ,  $g'_1(0) = f'(t_1) = 0$  and  $g''_1(0) = f''(t_1) \neq 0$ . So we have  $g_0(t) = b_2 t^2 + \dots (b_2 \neq 0)$  and  $g_1(t) = c_2 t^2 + \dots (c_2 \neq 0)$ . Thus  $\langle g'_0(t) \rangle = \langle g'_1(t) \rangle = \langle t \rangle$ .  $\dim(\frac{R[[t]]}{\langle g'_0(t) \rangle}) = \dim(\frac{R[[t]]}{\langle g'_1(t) \rangle}) = 1$ . It is enough to show that

$$\begin{aligned} \left( \frac{\partial F}{\partial u}|_{(t_0, u_0, v_0, r_0)}, \frac{\partial F}{\partial u}|_{(t_1, u_0, v_0, r_0)} \right) &= (A, 0) \\ \left( \frac{\partial F}{\partial v}|_{(t_0, u_0, v_0, r_0)}, \frac{\partial F}{\partial v}|_{(t_1, u_0, v_0, r_0)} \right) &= (0, B) \end{aligned}$$

for non-zero constant  $A, B$  since

$$\left( \frac{\partial F}{\partial r}|_{(t_0, u_0, v_0, r_0)}, \frac{\partial F}{\partial r}|_{(t_1, u_0, v_0, r_0)} \right) = (-2r_0, -2r_0).$$

To do this, we change  $(u, v)$ -coordinate to  $(\tau, \eta)$ -coordinate where the level curves of  $\tau$  are the parallel curves of  $\gamma$  and the level curves of  $\eta$  are the parallel curves of  $\gamma$  near  $\gamma(t_0)$ . Also, we assume that  $(\tau, \eta) = (0, 0)$  at  $(u, v) = (u_0, v_0)$ . Thus

$$\frac{\partial F}{\partial \tau}|_{(t_0, 0, 0, r_0)} \neq 0 \quad \text{and} \quad \frac{\partial F}{\partial \eta}|_{(t_0, 0, 0, r_0)} = 0$$

since the arc of the circle through  $(u_0, v_0)$  with center  $\gamma(t_0)$  is tangent to the  $\eta$ -axis and is transverse to the  $\tau$ -axis. Similarly,

$$\frac{\partial F}{\partial \tau}|_{(t_1, 0, 0, r_0)} = 0 \quad \text{and} \quad \frac{\partial F}{\partial \eta}|_{(t_1, 0, 0, r_0)} \neq 0$$

and Mather's criterion holds. Also, we have another case of type(4) singularity at  $(t_0, u_0, v_0, r_0)$  and  $(t_1, u_0, v_0, r_0)$  where  $r_0 \neq 1/\kappa_\gamma(t_0)$ ,  $r_0 \neq 1/\kappa_\gamma(t_1)$ ,  $\kappa_\gamma(t_0) \neq 0$  and  $\kappa_\gamma(t_1) \neq 0$ . To check Mather's criterion with same set-up above, we change  $(u, v)$ -coordinate to  $(\tau, \eta)$ -coordinate where the curve  $\tau = 0$  is tangent to the parallel curves of  $\gamma$  at  $(u_0, v_0)$  and the curve  $\eta = 0$  is orthogonal to the curve  $\tau = 0$  at  $(u_0, v_0)$ . We can assume  $(\tau, \eta) = (0, 0)$  at  $(u, v) = (u_0, v_0)$ . Then

$$\begin{aligned} \frac{\partial F}{\partial \tau}|_{(t_0, 0, 0, r_0)} &\neq 0, \frac{\partial F}{\partial \eta}|_{(t_0, 0, 0, r_0)} = 0 \\ \frac{\partial F}{\partial \tau}|_{(t_1, 0, 0, r_0)} &\neq 0, \frac{\partial F}{\partial \eta}|_{(t_1, 0, 0, r_0)} = 0 \\ \frac{\partial F}{\partial r}|_{(t_0, 0, 0, r_0)} &\neq 0, \frac{\partial F}{\partial r}|_{(t_1, 0, 0, r_0)} \neq 0 \end{aligned}$$

since the arcs of the circles through  $(u_0, v_0)$  with center  $\gamma(t_0)$  and  $\gamma(t_1)$  are tangential to the  $\eta$ -axis and are transverse to the  $\tau$ -axis. Thus Mather's criterion holds. Similarly, we can check the orbits of type(5) for which  $s = 3$ . Thus  $\Psi$  is transverse to all  $\text{Diff}(R)$ -orbits in  $C^\infty(R)$ . This implies that  $H$  is stable, so the cut locus  $C(p, \alpha)$  is stable for  $\alpha$ . Thus we have the following theorem.

**Theorem 1.** *Let  $M$  be a compact connected 2-dimensional  $C^\infty$ -manifold without boundary. Suppose that  $G$  is a connected finite graph which is smoothly embedded in  $M$ , and whose vertices have degree 1 or 3 only. Furthermore, suppose that for every vertex  $v$  of  $G$  of degree 3, the tangent vectors to  $M$  at  $v$  in the directions of the three edges of  $G$  incident to  $v$  are not contained in a closed half-space of  $T_v M$ . Also, suppose that the inclusion map  $\iota : G \rightarrow M$  induces an isomorphism  $\iota_* : H_1(G; \mathbb{Z}/2) \rightarrow H_1(M; \mathbb{Z}/2)$ . Then there exist a smooth metric  $\alpha$  on  $M$  and a point  $p \in M$  so that  $G = C(p, \alpha)$  and the cut locus  $C(p, \alpha)$  is stable for  $\alpha$ .*

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*NOTE: proofs not corrected by the author.*